

|   |  |                                  |
|---|--|----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>307089.02/14917.0553USU1 | Application Number:<br>10/823686 |
|   | Applicant: Elliot Lewis et al.             | Confirmation No.: 5352           |
|   | Filing Date: April 14, 2004                | Group Art Unit: 2132             |

| U.S. PATENT DOCUMENTS |              |            |               |       |          |                               |
|-----------------------|--------------|------------|---------------|-------|----------|-------------------------------|
| EXAMINER<br>INITIAL   | DOCUMENT NO. | DATE       | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|                       | 5,659,616    | 08/19/1997 | SUDIA         |       |          |                               |
|                       | 6,233,577    | 05/15/2001 | RAMASUBRAMANI |       |          |                               |
|                       | 20020073308  | 06/13/2002 | BENANTAR      |       |          |                               |
|                       | 20020144108  | 10/03/2002 | BENANTAR      |       |          |                               |
|                       | 20030065919  | 04/03/2003 | ALBERT        |       |          |                               |
|                       | 6,553,493    | 04/22/2003 | OKUMURA       |       |          |                               |
|                       | 6,564,320    | 05/13/2003 | DE SILVA      |       |          |                               |
|                       | 6,611,869    | 08/26/2003 | ESCHELBECK    |       |          |                               |
|                       | 6,754,664    | 06/22/2004 | BUSH          |       |          |                               |
|                       | 20040268148  | 12/30/2004 | KARJALA       |       |          |                               |
|                       | 6,847,609    | 01/25/2005 | SARNIKOWSKI   |       |          |                               |
|                       | 20050021975  | 01/27/2005 | LIU           |       |          |                               |
|                       | 6,854,056    | 02/08/2005 | BANANTAR      |       |          |                               |
|                       | 20050254651  | 11/17/2005 | POROZNI       |       |          |                               |
|                       | 20050256970  | 11/17/2005 | HARRISON      |       |          |                               |
|                       | 20060002556  | 01/05/2006 | PAUL          |       |          |                               |
|                       | 20060004772  | 01/05/2006 | HAGAN         |       |          |                               |
|                       | 7,020,532    | 03/28/2006 | JOHNSON       |       |          |                               |
|                       | 7,032,022    | 04/18/2006 | SHANUMGAM     |       |          |                               |
|                       | 7,039,807    | 05/02/2006 | SPITZ         |       |          |                               |
|                       | 20060143440  | 06/29/2006 | PONNAPALLI    |       |          |                               |
|                       | 20050021733  | 05/08/2007 | CLINTON       |       |          |                               |
|                       | 6,321,339    | 11/20/2007 | FRENCH        |       |          |                               |

2832176v1

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |

|   |  |                                  |
|---|--|----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>307089.02/14917.0553USU1 | Application Number:<br>10/823686 |
|   | Applicant: Elliot Lewis et al.             | Confirmation No.: 5352           |
|   | Filing Date: April 14, 2004                | Group Art Unit: 2132             |

| FOREIGN PATENT DOCUMENTS  |              |  |           |       |          |             |    |
|---|--------------|--|-----------|-------|----------|-------------|----|
|   | DOCUMENT NO. | DATE   | COUNTRY   | CLASS | SUBCLASS | TRANSLATION |    |
|   |              |  |           |       |          | YES         | NO |
|   | WO2005040995 | 05/06/2005   | Jorgenson |       |          |             |    |
| <b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.) |              |  |           |       |          |             |    |
|   |              | AAA: <i>from RADIUS to Diameter</i> [online], Sept. 18, 2003, [Retrieved 2006-07-19], Retrieved from: <a href="http://www.dsv.su.se/~icss-pec/study/AAA.pdf">http://www.dsv.su.se/~icss-pec/study/AAA.pdf</a> .  |           |       |          |             |    |
|   |              | Bechler, M., Hof, H., Kraft, D., Pahlke, F., Wolf, L., <i>A Cluster-Based Security Architecture for Ad Hoc Networks</i> [online], IEEE Infocom 2004, March 7-11 <sup>th</sup> , 2004, [Retrieved 2006-07-19], Retrieved from: <a href="http://www.ieee-infocom.org/2004/Papers/50_1.PDF">http://www.ieee-infocom.org/2004/Papers/50_1.PDF</a> .  |           |       |          |             |    |
|   |              | Cisco Systems, <i>Cisco Clean Access: In-Band and Out-Of-Band Deployment Options and Considerations</i> [online], © 1992-2006, 2003, [Retrieved 2007-07-20], Retrieved from: <a href="http://www.cisco.com/application/pdf/en/us/guest/products/ps6128/c1244/cdcont_0900aecd802bdc42.pdf">http://www.cisco.com/application/pdf/en/us/guest/products/ps6128/c1244/cdcont_0900aecd802bdc42.pdf</a> .   |           |       |          |             |    |
|   |              | Jansen, W., Karygiannis, T., Korolev, V., Gavril, S., Iorga, M., <i>Policy Expression and Enforcement for Handheld Devices</i> [online], April 2003, [Retrieved 2006-07-18], Retrieved from: <a href="http://csrc.nist.gov/publications/nistir/nistir-6981.pdf">http://csrc.nist.gov/publications/nistir/nistir-6981.pdf</a> .   |           |       |          |             |    |
|   |              | Johnston, W., Mudumbai, S., Thompson, M., <i>Authorization and Attribute Certificates for Widely Distributed Access Control</i> [online], IEEE 7 <sup>th</sup> International Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises – WETICE '98, 17-19 <sup>th</sup> June 1998, [Retrieved 2006-07-18], Retrieved from: <a href="http://dsd.lbl.gov/~mrt/papers/cert.based.access.control.ieee.pdf">http://dsd.lbl.gov/~mrt/papers/cert.based.access.control.ieee.pdf</a> . |           |       |          |             |    |
|   |              | Lui, X., Madson, C., McGraw, D., Nourse, A., <i>Cisco Systems Simple Certificate Enrollment Protocol (SCEP)</i> [online], January 2000, [Retrieved 2007-12-09], Retrieved from: <a href="http://ietfreport.isoc.org/all-ids/draft-nourse-scep-01.txt">http://ietfreport.isoc.org/all-ids/draft-nourse-scep-01.txt</a> .  |           |       |          |             |    |
|   |              | Murphy, T., <i>The cdma2000 packet core network</i> [online], 2001, [Retrieved 2007-07-19], Retrieved from: <a href="http://www.ericsson.com/ericsson/corpinfo/publications/review/2001_02/files/2001025.pdf">http://www.ericsson.com/ericsson/corpinfo/publications/review/2001_02/files/2001025.pdf</a> .  |           |       |          |             |    |
|   |              | New Trusted Computing Group Formed to Advance the Adoption of Open Standards for Trusted Computing Technologies, April 8, 2003, [Retrieved 2007-03-03], Retrieved from: <a href="https://www.trustedcomputinggroup.org/news/press/tcg/2003/2003_04_08_tcg_formed.pdf">https://www.trustedcomputinggroup.org/news/press/tcg/2003/2003_04_08_tcg_formed.pdf</a> .  |           |       |          |             |    |
|   |              | Network Access Protection Platform Overview, <i>The Cable Guy – July 2005</i> [online], June 29, 2005, [Retrieved 2006-07-22], Retrieved from: <a href="http://www.microsoft.com/technet/community/columns/cableguy/cg0705.msp">http://www.microsoft.com/technet/community/columns/cableguy/cg0705.msp</a> .   |           |       |          |             |    |
|   |              | Pearce, C., Bertok, P., Thevathayan, C., <i>A Protocol for Secrecy and Authentication within Proxy-Based SPKI/SDSI Mobile Networks</i> [online], AusCERT 2004 IT Security Conference, 2004, [Retrieved 2006-07-24], Retrieved from: <a href="http://www.cs.rmit.edu.au/eCDS/publications/auscert2004.pdf">http://www.cs.rmit.edu.au/eCDS/publications/auscert2004.pdf</a> .  |           |       |          |             |    |
|   |              | Persiano, P., Visconti, I., <i>A Secure and Private System for Subscription-Based Remote Services</i> [online], ACM Transactions on Information and System Security, Vol. 6, NO. 4, November 2003, pp.472-500, [Retrieved 2006-07-24], Retrieved from: <a href="http://portal.acm.org/citation.cfm?doid=950191.950193">http://portal.acm.org/citation.cfm?doid=950191.950193</a> .   |           |       |          |             |    |

2832176v1

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |

|   |  |                                  |
|---|--|----------------------------------|
| <b>FORM 1449*</b><br><b>INFORMATION DISCLOSURE STATEMENT</b><br><br><b>IN AN APPLICATION</b><br><br>(Use several sheets if necessary) | Docket Number:<br>307089.02/14917.0553USU1 | Application Number:<br>10/823686 |
|   | Applicant: Elliot Lewis et al.             | Confirmation No.: 5352           |
|   | Filing Date: April 14, 2004                | Group Art Unit: 2132             |

|  |  |  |
|--|--|--|
|  |  | Rigney, C., Willens, S., Rubens, A., Simpson, W., <i>Remote Authentication Dial In User Service (RADIUS)</i> [online], RFC 2865, June 2000, [Retrieved 2007-12-09], Retrieved from: <a href="http://www.faqs.org/ftp/rfc/pdf/rfc2865.txt.pdf">http://www.faqs.org/ftp/rfc/pdf/rfc2865.txt.pdf</a> .  |
|  |  | Rigney, C., Rubens, A., Simpson, W., Willens, S., <i>Remote Authentication Dial In User Service (RADIUS)</i> [online], RFC 2058, January 1997, [Retrieved 2007-03-07], Retrieved from: <a href="http://www.freeradius.org/rfc/rfc2058.txt">http://www.freeradius.org/rfc/rfc2058.txt</a> .   |
|  |  | Rutishauser, U., Schafer, A., <i>Open reference implementation of a SCEP v2 client</i> [online], March 1, 2002, [Retrieved 2006-07-20], Retrieved from: <a href="http://www.urut.ch/scep/scepclient.pdf">http://www.urut.ch/scep/scepclient.pdf</a> .  |
|  |  | Scheifler, R., <i>X Window System Protocol, Version 11, Alpha Update April 1987</i> [online], RFC 1013, June 1987, [Retrieved 2007-03-07], Retrieved from: <a href="http://www.faqs.org/ftp/rfc/pdf/rfc1013.txt.pdf">http://www.faqs.org/ftp/rfc/pdf/rfc1013.txt.pdf</a> .   |
|  |  | TCG, <i>TCG Trusted Network Connect TNC IF-TNCCS Specification Version 1.0</i> [online], 1 May 2006, [Retrieved 2007-03-03], Retrieved from: <a href="https://www.trustedcomputinggroup.org/specs/TNC/TNC_IF-TNCCS_v1_0_r2.pdf">https://www.trustedcomputinggroup.org/specs/TNC/TNC_IF-TNCCS_v1_0_r2.pdf</a> .   |
|  |  | TCG, <i>TCG Trusted Network Connect TNC Architecture for Interoperability Specification Version 1.1</i> [online], 1 May 2006, [Retrieved 2007-03-03], Retrieved from: <a href="https://www.trustedcomputinggroup.org/specs/TNC/TNC_Architecture_v1_1_r2.pdf">https://www.trustedcomputinggroup.org/specs/TNC/TNC_Architecture_v1_1_r2.pdf</a> .  |
|  |  | TCG, <i>TCG Trusted Network Connect TNC Architecture for Interoperability Specification Version 1.2</i> [online], 21 May 2007, [Retrieved 2007-07-05], Retrieved from: <a href="https://www.trustedcomputinggroup.org/specs/TNC/TNC_Architecture_v1_2_r4.pdf">https://www.trustedcomputinggroup.org/specs/TNC/TNC_Architecture_v1_2_r4.pdf</a> .   |
|  |  | Thompson, M., Essiari, A., Mudumbai, S., <i>Certificate-Based Autorization Policy in a PKI Environment</i> [online], November 2003 [Retrieved 2006-07-18], pp. 566-588, Vol. 6, No. 4, Retrieved from: <a href="http://delivery.acm.org/10.1145/960000/950196/p566-r_thompson.pdf?key1=950196&amp;key2=9465223511&amp;coll=Portal&amp;d1=GUIDE&amp;CFID-1348718&amp;CFTOKEN=37872952">http://delivery.acm.org/10.1145/960000/950196/p566-r_thompson.pdf?key1=950196&amp;key2=9465223511&amp;coll=Portal&amp;d1=GUIDE&amp;CFID-1348718&amp;CFTOKEN=37872952</a> . |
|  |  | <i>X11</i> [online], [Retrieved 2007-03-04], Retrieved from: <a href="http://en.wikipedia.org/wiki/X_Window_System">http://en.wikipedia.org/wiki/X_Window_System</a> .   |
|  |  | Zorn, G., <i>Microsoft Vendor-specific RADIUS Attributes</i> [online], RFC 2548, March 1999, [Retrieved 2006-07-19], Retrieved from: <a href="ftp://ftp.rfc-editor.org/in-notes/rfc2548.txt">ftp://ftp.rfc-editor.org/in-notes/rfc2548.txt</a> .   |

2832176v1

|   |                 |
|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant. |                 |